

**Detailed Customer Self-Certification Form for Individuals,
Individual Entrepreneurs and Those Engaged in Private Practice**

Dear Customer,

Bank GPB (JSC) hereby asks you to complete each field of this Form in the order presented, unless otherwise specified in the notes thereto (for further information on completion of the self-certification forms, please visit Bank GPB (JSC)'s website at <http://www.gazprombank.ru/info/compliance>)^{1,2,3}

Surname, name and (if applicable) patronymic:

Identification document type and details (series, number, issued by and when)

Actual place of residence

House, number, street

City/area/province/other administrative area

Country

Index (equivalent)

Mailing address: specify, if the same as actual place of residence

House, number, street

City/area/province/other administrative area

Country

Index (equivalent)

Date of birth:

Place of birth (city, country):

PART 1: TAX RESIDENCY AND TAX NUMBER

Each country/jurisdiction has its own rules for determining tax residency. In order to comply with the provisions of Chapter 20.1 of the Russian Federation Tax Code, please refer to the information regarding the tax residency criteria applicable in different countries/jurisdictions which can be found on the OECD's website at (<http://www.oecd.org/tax/automatic-exchange/crs-implementation-and-assistance/tax-residency/>).

1. The customer can be a tax resident in more than one country/jurisdiction. Please list all countries/jurisdictions in which you are a tax resident (including the Russian Federation, if applicable):

Country/jurisdiction of tax residency (Including the Russian Federation, if applicable)	INN/TIN (not required for the Russian Federation)	If no INN/TIN is provided, please select the reason (A or B)
1		
2		
3		

If you are a tax resident in more than three countries/jurisdictions please use a continuation sheet.

Reason A: The country/jurisdiction of tax residency of the account holder does not issue any INN/TIN.

Reason B: The account holder is otherwise unable to obtain an INN/TIN (please explain why).

If you select Reason B, please explain why you are unable to obtain a TIN (for each country/jurisdiction):

1	
2	
3	

¹ Further identification is conducted by Bank GPB (JSC) to comply with the requirements of Federal Law No. 340-FZ, dated November 27, 2017, On the Introduction of Amendments to the Russian Federation Tax Code in Connection with the Implementation of the International Automatic Exchange of Information on Financial Accounts and Documentation Relating to Multinational Groups of Companies (hereinafter - Federal Law No. 340-FZ) and Federal Law No. 173-FZ dated 28.06.2014 On Specifics of Financial Transactions with Foreign Individuals and Entities and Amendment of the Code of Administrative Offenses of the Russian Federation and Invalidation of Certain Provisions of Laws of the Russian Federation (hereinafter – Federal Law No. 172-FZ).

² The Bank reserves the right to request additional documents required for further identification.

³ A person using the services of a Depository under a Depository Agreement or any other agreement with a Depository within the framework of the latter's depository business (hereinafter – "Depositor") agrees that confidential information on said person and its customers, including information comprised in Depositor Questionnaires registered with the Depository and depo orders, will, in cases stipulated by the legislation of the Russian Federation, applicable law and regulations on the performance of depository business by a Foreign Depository, or the procedure for performing depository transactions, as well as in accordance with the Federal Law, be handed over to an authorized body/person, without further consent by Depositor, on the basis of the Depository's custodian accounting data. Depositor will be responsible for the validity of information provided to the Depository and the timely updating of questionnaire details.

PART 2: US INDICIA

1.	Are you a U.S. citizen (among other in case of two or more citizenships)?	<input type="checkbox"/> yes	<input type="checkbox"/> no
2.	Do you have a permit for a permanent stay in the U.S. (a permanent resident card (I-551 Form, Green Card))?	<input type="checkbox"/> yes	<input type="checkbox"/> no
3.	Do you comply with the "Long-Term Stay in the U.S." criterion (see Note)?	<input type="checkbox"/> yes	<input type="checkbox"/> no
4.	Have you been born in the U.S.?	<input type="checkbox"/> yes	<input type="checkbox"/> no
5.	Do you have a residential address and/or mailing address in the U.S.?	<input type="checkbox"/> yes	<input type="checkbox"/> no
6.	Do you have a contact phone/fax number in the U.S.?	<input type="checkbox"/> yes	<input type="checkbox"/> no
7.	Have you provided Bank GPB (JSC) with a payment order/instruction for funds transfer to an account in the U.S. without additional acceptance ⁴ ?	<input type="checkbox"/> yes	<input type="checkbox"/> no
8.	Have you issued a power of attorney to operate your account to a person with an American address ⁵ ?	<input type="checkbox"/> yes	<input type="checkbox"/> no

9.	Are you a U.S. tax resident (please, refer to Note)?		
<input type="checkbox"/>	YES, I am a tax resident of the U.S. ► Fill in the self-certification form of U.S. tax residents (W-9) and please continue to Part 3	<input type="checkbox"/>	NO, I am not a tax resident of the U.S. ► Continue to Part 3

PART 3: CONFIRMATION AND SIGNATURE

- (1) I confirm that the information herein is complete and true.
- (2) I understand that I may be subject to liability for untrue and incomplete information in accordance with the applicable law.
- (3) In case of any change in the information provided herein, I will provide updated information to Bank GPB (JSC) no later than 15 calendar days after such change.
- (4) I understand that in the case of my refusal to provide to Bank GPB (JSC), within 15 business days of a relevant request from Bank GPB (JSC), any information necessary for the purposes of compliance with Federal Law No. 173-FZ, Bank GPB (JSC) shall have the right to suspend any transaction made to my benefit or on my instruction under a financial services agreement with Bank GPB (JSC) and unilaterally terminate such agreement in cases envisaged by Federal Law No. 173-FZ.
- (5) I understand that in the case of my refusal to provide information regarding my tax residency at the Bank's request related to the implementation of actions in accordance with the provisions of Chapter 20¹ of the Russian Federation Tax Code, and in the event of provision of incomplete information (in particular, failure to provide any taxpayer identification number) or knowingly false information, the Bank shall have the right to refuse to make a new agreement with me or refuse execution of transactions carried out for my benefit or on my behalf under the existing agreement or unilaterally terminate the existing agreement where and as provided by the legislation of the Russian Federation.
- (6) If any of questions 1-3 or 9, Part 2, is answered affirmatively, I give my consent to Bank GPB (JSC) to provide to Authorized Agencies of the Russian Federation (Federal Tax Service, Rosfinmonitoring, Bank of Russia), U.S. Tax Service (including trans-border transfer) my personal data to fill out the reporting forms required by the Authorities Agencies of the Russian Federation and U.S. Tax Service.
- (7) If any of questions 1-3 or 9, Part 2, is answered affirmatively, I give my consent to Bank GPB (JSC) to provide to foreign tax agents, authorized by the U.S. Tax Service to withhold foreign taxes and levies, my personal data, required to comply with FATCA requirements.

(signature)

Date			
	Day	Month	Year

⁴ These include payment orders for recurring payments within certain period. These do not include one-off payment orders.

⁵ An American address in the present paragraph means a residence (registration) address/place of temporary residence / mailing address in the U.S. At the same time, the power of attorney issued to a person, born in the U.S., or to a U.S. citizen without an American address is not a sufficient condition to answer this question affirmatively.

Note:

Dear Customer, in order to correctly complete certain pages of this Form, please read the information below.

1. Definition of the U.S. tax resident

In accordance with the Federal Law an individual is considered a U.S. tax resident if he/she meets any of the criteria listed below:

- The individual is a U.S. citizen;
- The individual has permit to stay in the U.S. (a permanent resident card (Form I-551 (Green Card))).
- The individual complies with the “Long-Term Stay in the U.S. Territory” criteria.

2. Long-Term Stay in the U.S. criteria

An individual is treated as a U.S. tax resident if he/she has stayed in the U.S. at least 31 days in the current calendar year and at least 183 days within 3 years, including the current year and the two immediately preceding years. The number of days such an individual stays in the U.S. in the current year and in the two preceding years is multiplied by a certain coefficient:

- 1 – for the current year (i.e. all days the individual stays in the U.S. in the current year are taken into account);
- 1/3 – for the first preceding year, and
- 1/6 – for the second preceding year.

E.g., if you stayed in the U.S. 130 days in 2014, 120 days in 2013, and 120 days in 2012, the total number of days will be calculated as follows: $(130 + 120 \cdot 1/3 + 120 \cdot 1/6) = 190$. Since it exceeds 183 days, and in the current year you stayed in the U.S. more than 31 days, then in 2014 you will be treated as a tax resident in the U.S.

Please note that teachers, students, trainees who temporarily stay in the U.S. under F, J, M or Q visa are not treated as U.S. tax residents (if the permanent stay condition is not met).